



Registration of Premises under the 'Balai' Directive 92/65/EEC (as amended)  
The Trade in Animals and Related Products Regulations 2011  
The Trade in Animals and Related Products (Wales) Regulations 2011  
The Trade in Animals and Related Products (Scotland) Regulations 2012 (as amended)

## Application from the Owner/Manager of a Premises for Registration under Article 4 of Council Directive 92/65/EEC

I,  (name in BLOCK letters)

Being the \*owner/manager \*(delete as applicable)of the premises at: (full postal address)

Postcode

CPH No. (if applicable)

hereby apply to Defra/Scottish Government (SG)/Welsh Government (WG) for registration of the above premises in accordance with Article 4 of EC Council Directive 92/65/EEC.

I hereby undertake to:

1. Keep all the animals in my holding individually identified as required under national legislation, and to keep records of the identification and the movements of animals on or off the holding, which I will make available for the authorities to inspect.
2. Notify the Veterinary Head of Field Delivery (VHoFD)/Veterinary Lead Scotland (VLS)/Veterinary Lead Wales (VLW) of any suspicion of a disease for which a national control programme is in place, and to comply with specific national measures to control such disease.
3. Place on the market for the purposes of trade only animals which show no signs of disease, and which come from holdings and areas not subject to any ban on animal health grounds.
4. In the case of animals that do not require health certification by an Official Veterinarian (OV), provide a self-certified statement that the animals being traded do not at the time despatch, show any obvious signs of disease, and that my holding is not subject to any animal health restrictions.
5. Comply with national and EU requirements to ensure the welfare of the animals in my care.

I understand that failure to comply with any or all of the above requirements will result in the withdrawal of the approval.

Signed

Date

Address:  
(If different from above)

Postcode

Telephone number

### DATA PROTECTION

For information on how we handle personal data please go to [www.gov.uk](http://www.gov.uk) and search Animal and Plant Health Agency Personal Information Charter.

# Registration of Premises under the 'Balai' Directive 92/65/EEC

## Notes for Guidance of Owners/Managers of Premises who wish to apply for Registration under Article 4 of Council Directive 92/65/EEC

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### Introduction

1. **EU Directive 92/65/EEC.** (the 'Balai' Directive) lays down conditions for the import and export between EU Member States for various species of animals which are not covered elsewhere by other legislation. Specific trade Directives exist for the domestic animals: cattle, pigs, goats, sheep, horses and poultry, so that these species are excluded from the Balai Directive. The intention of the Balai Directive is to provide a framework for trade in every other animal species.
2. **Relevant Species.** The Balai Directive lays down conditions in detail for trade in the following:
  - Non-domestic ungulates - artiodactyla (e.g. deer, llamas, alpacas, antelopes, wild pigs, etc.), and perissodactyla (tapirs and rhinos but not horses or zebra, etc.)
  - Captive Birds - (birds other than poultry, including psittacines)
  - Lagomorphs - (rabbits and hares, if they are being commercially traded)
  - Cats, Dogs and Ferrets - (if they are being commercially traded)
  - Non domestic carnivora.

Species other than those (Rodents, Hyraxes, Xenartha, Eulipotyphyla, Marsupials, Dermoptera and Elephants) are also covered by the Directive but for movements from non-approved premises (i.e registered premises) there are no conditions laid down in the Directive. Consequently, trade conditions and certification requirements for such movements are subject to bilateral agreements between the trading States. You should consult the Animal and Plant Health Agency (APHA) Imports Team at Centre for International Trade Carlisle (CITC) or VHoFD/VLS/VLW for advice.

3. **Registration or Approval.** One of the main conditions of the Balai Directive is that animals of the species that it covers can be exported only from premises which are either Registered or Approved by the authorities in the exporting country. The requirements for Approval are rigorous and normally apply only to a small number of specialised premises such as zoos and research institutions. Annex 4 guidance notes for approval provide details of the approval process. You may also wish to contact your local VHoFD/VLS/VLW or the Live Animal Exports Team at CITC. This document is concerned solely with Registration.
4. **Trade.** It must be noted that 'trade' is defined by the Directive as being simply the movement of animals between one Member State and another. Although the word 'trade' in general implies a commercial transaction, the exchange of payment in any form is not a necessary element of 'trade' in the context of the Balai Directive.
5. **Pets.** It must also be noted that pets of any species are not covered by the Balai Directive. For advice on the movement of pets between Member States you should consult the CITC. Under Regulation (EU) No 576/2013 pet rodents and rabbits can move freely within the EU. Pets are accompanied by their owners.

### Balai Registration Application Form

6. The owner or manager of the premises of origin should complete the application form [EC3163](#) and return it to CITC. It must be signed and dated, and the capacity of the applicant must be written below the signature, e.g. owner, manager, company secretary, etc.
7. Application paragraph 1 refers. Animals on the holding or premises should be individually identified, wherever possible. The method of identification will vary according to the species, e.g. ear tags, wing tags, leg bands, tattoo, electronic transponder (micro-chips), etc. For some animals (laboratory rodents for example), individual identification is not practical and so cage/batch identification may be used.

A record must be kept of the identification numbers or codes. Records must be kept available for the authorities to inspect, which is a particularly important element in disease control investigations.
8. Application paragraph 2 refers. There is a legal obligation for anyone involved with the care of animals to report any suspicion of a disease which is notifiable under national law.
9. Application paragraph 3 refers. The owner/manager must undertake that only healthy animals will be traded, and that no animals will be traded if there are disease control restrictions applying to the premises or the area of origin.
10. Application paragraph 4 refers. Article 4 of the Directive allows certain types of animal to be traded without an official health certificate.

If no health certificate or commercial document is required then self-certification by the owner/exporter applies provided the exporting competent authority has declared that there is 'no risk of spread of disease' and that the animals and birds come from a holding not subject to animal health restrictions.

Ungulates must be accompanied by a certificate as per model in Annex E part I. (TRACES generated Intra Trade Animal Health Certificate (ITAHC) EI).

Psittacidae birds must be accompanied by a commercial document signed by the official veterinarian or by the veterinarian responsible for the holding or business of origin and empowered for this purpose by the competent authority.

Non psittacidae birds that have not been vaccinated against Avian Influenza (AI) can be accompanied by self-certification by the owner/exporter. Birds that have been vaccinated against AI must be accompanied by a certificate as per model in Annex E part I. (TRACES generated ITAHC EI)

Bees must be accompanied by a health certificate as per model in Annex E type II. (TRACES generated ITAHC EII)

Lagomorphs (rabbits and hares): Member States which require a health certificate for movements of lagomorphs in their territory may require animals being sent to them to be accompanied by a health certificate corresponding to the specimen in Annex E of the Directive. If you are in any doubt you should contact the recipient country's competent authority for advice

11. Application paragraph 5 refers. The exporter, owner and transporter are responsible for the welfare of their animals during transit. Specific rules are laid down in Council Regulation (EC) No 1/2005 on the protection of animals during transport and related operations. The Regulation applies to all those involved with the transport of live vertebrate animals in connection with an economic activity. The Welfare of Animals (Transport) (England) Order 2006 and parallel legislation in Scotland, Wales and N. Ireland implement the EU Regulation in the UK.

### Transporter authorisation

If the journey is under eight hours a Transporter Authorisation Type 1 certificate will be required and if over 8 hours or to another EU Member State a Type 2 certificate is required.

Further information about transport welfare requirements can be obtained from the welfare sections at the following addresses and the following link: <https://www.gov.uk/guidance/animal-welfare#animal-welfare-during-transport>

#### England

Department for Environment, Food and Rural Affairs  
Transport and Markets Team, Animal Welfare  
Section  
1A Defra HQ  
London SW1P 4PQ  
Tel: 020 7904 6576  
Fax: 020 7904 6961

#### Scotland

Scottish Government  
Animal Welfare Section  
B Spur  
Saughton House  
Broomhouse Drive  
Edinburgh  
EH11 3XD  
Tel: 0131 244 6178  
Fax: 0131 244 6616

#### Wales

The Welsh Government  
Welfare and Animal ID,  
Animal and Plant Health Policy Division  
Government Buildings, Picton Terrace  
Carmarthen, SA31 3BT  
Tel: 01267-225440  
Fax: 01267-235964

#### Centre for International Trade - Carlisle

Live Animal Export Team, Eden Bridge House,  
Lowther Street, Carlisle, Cumbria CA3 8DX  
Tel: 03000 200 301 Fax: 0208 0260 498  
[LiveAnimalExports.Carlisle@apha.gov.uk](mailto:LiveAnimalExports.Carlisle@apha.gov.uk)

Import Team, Eden Bridge House, Lowther Street,  
Carlisle, Cumbria CA3 8DX  
Tel: 03000 200 301 Fax: 0208 0260 498  
[Imports@apha.gov.uk](mailto:Imports@apha.gov.uk)

APHA is an Executive Agency of the Department for Environment, Food and Rural Affairs and also works on behalf of the Scottish Government, Welsh Government and Food Standards Agency to safeguard animal and plant health for the benefit of people, the environment and the economy.